

# Reversible Thermally Driven Phase Change of Layered $\text{In}_2\text{Se}_3$ for Integrated Photonics

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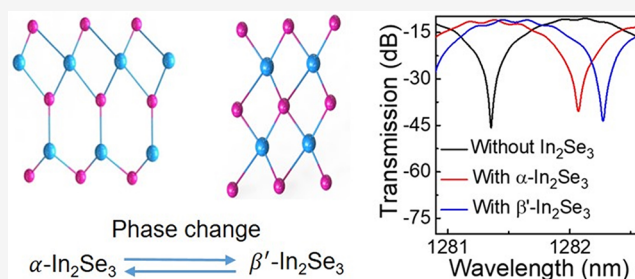
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**ABSTRACT:** Two-dimensional  $\text{In}_2\text{Se}_3$ , an unconventional phase-change material, has drawn considerable attention for polymorphic phase transitions and electronic device applications. However, its reversible thermally driven phase transitions and potential use in photonic devices have yet to be explored. In this study, we observe the thermally driven reversible phase transitions between  $\alpha$  and  $\beta'$  phases with the assistance of local strain from surface wrinkles and ripples, as well as reversible phase changes within the  $\beta$  phase family. These transitions lead to changes in the refractive index and other optoelectronic properties with minimal optical loss at telecommunication bands, which are crucial in integrated photonic applications such as postfabrication phase trimming. Additionally, multilayer  $\beta'$ - $\text{In}_2\text{Se}_3$  working as a transparent microheater proves to be a viable option for efficient thermo-optic modulation. This prototype design for layered  $\text{In}_2\text{Se}_3$  offers immense potential for integrated photonics and paves the way for multilevel, nonvolatile optical memory applications.

**KEYWORDS:**  $\text{In}_2\text{Se}_3$ , optical phase-change material, reversible phase transition, microheater, phase shifter



Recently, two-dimensional (2D)  $\text{In}_2\text{Se}_3$  has attracted considerable attention because of the unique properties of its extraordinary ferroelectric, optoelectronic, and thermoelectric properties.<sup>1–7</sup> Intriguingly,  $\text{In}_2\text{Se}_3$  is polymorphic and possesses multiple crystalline phases, including  $\alpha$ ,  $\alpha'$ ,  $\beta$ ,  $\beta'$ ,  $\gamma$ ,  $\gamma'$ ,  $\delta$ , and  $\kappa$ .<sup>8–12</sup> Moreover, the  $\alpha$  phase possesses two different stacking sequences, i.e., hexagonal (2H) and rhombohedral (3R) structures, and the  $\beta$  phase possesses three different stacking sequences, i.e., trigonal (1T), 2H, and 3R structures.<sup>9,10</sup> These differences in stacking sequences, the bonding geometries of In/Se atoms, and the vacancy distribution geometries result in different electrical and optical characteristics. For example,  $\alpha$ - $\text{In}_2\text{Se}_3$  has a noncentrosymmetric crystal structure and both in-plane and out-of-plane ferroelectricity.<sup>13–16</sup> On the contrary, an antiferroelectric order and ferroelasticity were observed in  $\beta'$ - $\text{In}_2\text{Se}_3$ .<sup>17–19</sup> Indeed, amorphous  $\text{In}_2\text{Se}_3$  and a part of crystalline phases such as 2H/3R  $\alpha$ , 2H/3R  $\beta'$ , and  $\gamma$  are stable for 2D  $\text{In}_2\text{Se}_3$  at room temperature,<sup>20–22</sup> which provides the possibility of multilevel nonvolatile switching. For instance, nonvolatile electronic phase-change memories based on a phase transition from an amorphous to crystalline phase and from a  $\beta$  to a  $\gamma$  phase were realized with the excitation of electric pulses.<sup>20,23,24</sup>

These reported nonvolatile electronic devices primarily rely on the change of conductivity, but the variation of optical characteristics such as refractive index, which plays a significant role in integrated photonics, still needs to be deeply explored.

To meet the demand of photonic applications, in-depth studies of optical property changes induced by  $\text{In}_2\text{Se}_3$  phase change are indispensable. Simultaneously,  $\alpha$ - $\text{In}_2\text{Se}_3$  and  $\beta'$ - $\text{In}_2\text{Se}_3$  possess plentiful physics and material characteristics among all these different phases, but great difficulty still remains for a reversible phase change between these two phases.<sup>22,25,26</sup> Until now, a reversible phase change between  $\beta'$ - $\text{In}_2\text{Se}_3$  and  $\alpha$ - $\text{In}_2\text{Se}_3$  has only been driven by mechanical force.<sup>27</sup> Therefore, finding new approaches for reversible  $\text{In}_2\text{Se}_3$  phase change and developing nonvolatile integrated photonic devices are meaningful.

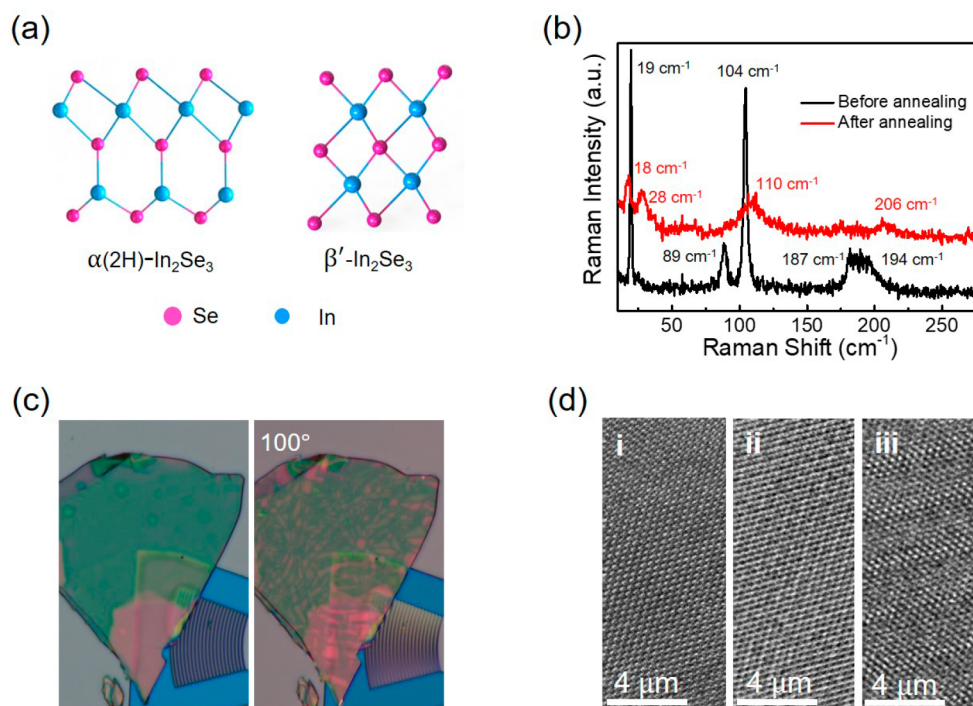
Aside from its crystalline polymorphs and compatibility with various phase transition control approaches,  $\text{In}_2\text{Se}_3$  possesses several advantages over conventional optical phase-change materials in integrated photonics. First, layered  $\text{In}_2\text{Se}_3$  is back-end CMOS compatible; thereby, it can integrate with arbitrary substrates such as Si and  $\text{SiN}_x$  for an integrated photonic device, avoiding the technical challenges limited by the variation of lattice constants and thermal expansion coefficients.<sup>28–30</sup> Second,  $\text{In}_2\text{Se}_3$  has a wide optical bandgap, larger than 1.3 eV,<sup>31,32</sup> bringing about a weak optical absorption and

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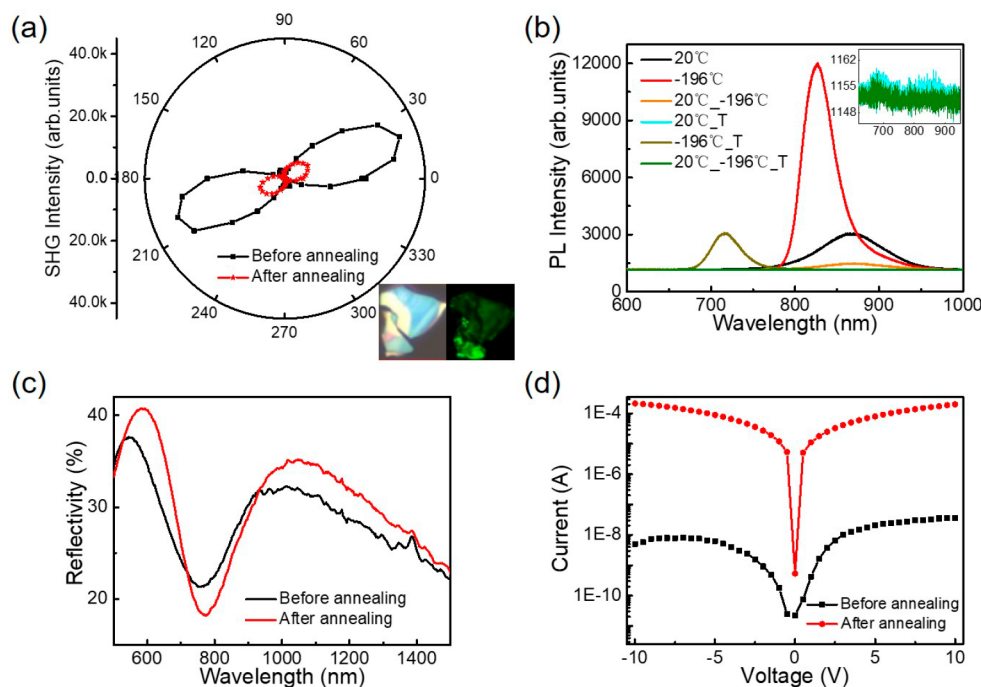


**Figure 1.** Characterization of multilayer  $\text{In}_2\text{Se}_3$  flakes before and after annealing. (a) Side-view cartoon of atomic structures for  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$ . (b) Raman spectra of a 2H  $\text{In}_2\text{Se}_3$  flake at 20 °C before ( $\alpha\text{-In}_2\text{Se}_3$ ) and after ( $\beta'\text{-In}_2\text{Se}_3$ ) thermal annealing. (c) Optical microscope images of a multilayer  $\text{In}_2\text{Se}_3$  flake at 20 °C after thermal annealing ( $\beta'\text{-In}_2\text{Se}_3$ ) under nonpolarized light and polarized light (100°). (d) High-resolution transmission electron microscope (TEM) images of a multilayer  $\text{In}_2\text{Se}_3$  flake at 20 °C ( $\alpha\text{-In}_2\text{Se}_3$ ) (i), at 300 °C ( $\beta\text{-In}_2\text{Se}_3$ ) (ii), and at 20 °C after a temperature decrease from 300 °C ( $\beta'\text{-In}_2\text{Se}_3$ ) (iii).

small optical loss at O to U bands. Furthermore, compared with traditional phase-change materials such as  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  (melting temperature  $>650$  °C)<sup>33–35</sup> and 2D phase-change materials such as  $\text{MoTe}_2$  ( $\sim 900$  °C for 2H to 1T' transition),<sup>36,37</sup>  $\text{In}_2\text{Se}_3$  possesses a lower phase-change temperature (300 °C @ 50 nm thick) and thus lower power consumption during the phase transition. Therefore, it is valuable to study 2D  $\text{In}_2\text{Se}_3$  optical property variation and to design phase shifters with low insertion loss based on the phase change of  $\text{In}_2\text{Se}_3$ .

In this work, the reversible phase change of 2H stacked  $\text{In}_2\text{Se}_3$  between  $\alpha$  and  $\beta'$  phases was realized, and the complex phase transitions of multilayer  $\text{In}_2\text{Se}_3$  and the reversible phase change within the  $\beta$  phase family were also confirmed. This reversible phase change between  $\alpha$  and  $\beta'$  phases was induced by a thermal effect with the assistance of local strain from surface wrinkles and ripples. Intriguingly, the phase change between  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$  would result in the variation of refractive index and other optoelectronic properties, which are essential for integrated photonic applications. Benefiting from the phase change, integrated phase shifters based on Mach–Zehnder interferometer (MZI) and microring resonator (MRR) coupling with  $\text{In}_2\text{Se}_3$  were obtained, which demonstrate the efficient phase modulation and small optical insertion loss (less than 0.012 dB/ $\mu\text{m}$ ). Moreover, a transparent microheater based on  $\beta'\text{-In}_2\text{Se}_3$  was designed for an efficient thermo-optic modulator. The newly discovered heat-induced reversible phase transition in layered  $\text{In}_2\text{Se}_3$  indicates that it is suitable for low-loss phase trimming and phase modulation and can potentially be used to develop multilevel nonvolatile optical memories under electrical excitation.

The schematic crystal structures of  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$  indicate that they consist of different quintuple Se–In–Se–In–Se layer blocks (Figure 1a). Specifically, 2H  $\alpha\text{-In}_2\text{Se}_3$  shows the periodical  $aBcCa\ bAcCb$  alternate quintuple Se–In–Se–In–Se layers, but  $\beta'\text{-In}_2\text{Se}_3$  presents an arrangement in a staggered order of  $aBcAb\ aCbAc$  alternating quintuple Se–In–Se–In–Se layers.<sup>9</sup> Raman peaks at 89, 104, 187, and 194  $\text{cm}^{-1}$  are observed for a multilayer  $\text{In}_2\text{Se}_3$  flake (70 nm thick) excited by 532 nm laser at 20 °C (Figure 1b), which are attributed to the  $E_2^+$ ,  $A_1$  (LO + TO),  $A_1$  (LO), and  $A_1$  (TO) phonon modes, respectively, and belong to 2H  $\alpha\text{-In}_2\text{Se}_3$ .<sup>10</sup> In the low-frequency region, a Raman peak at 19  $\text{cm}^{-1}$  is observed as well. After thermal annealing, the dominant Raman peak has a blue shift to 110  $\text{cm}^{-1}$ , and another two emerging peaks at 28 and 206  $\text{cm}^{-1}$  belong to the lattice phonon mode of  $\beta'\text{-In}_2\text{Se}_3$ ,<sup>27</sup> indicating the phase change from  $\alpha$  to  $\beta'$  phase. An optical microscope image (Figure 1c) of a multilayer  $\text{In}_2\text{Se}_3$  flake after thermal annealing shows domains in the shape of long stripes under polarized light (100°), resulting from linear-dichroism behavior of  $\beta'\text{-In}_2\text{Se}_3$ , which indicates that  $\beta'\text{-In}_2\text{Se}_3$  comes into being at room temperature after thermal annealing.<sup>18,22</sup> As shown in Figure 1d, high-resolution TEM images taken at different temperatures reveal obvious differences. At 300 °C, the  $\alpha\text{-In}_2\text{Se}_3$  (i in Figure 1d) flake changes to  $\beta\text{-In}_2\text{Se}_3$  (ii in Figure 1d), which only remains at a high temperature and transforms to  $\beta'\text{-In}_2\text{Se}_3$  when the temperature decreases from 300 to 20 °C (iii in Figure 1d). Moreover, corresponding selected-area electron diffraction (SAED) patterns are shown in Figure S1 to support the  $\alpha\text{-}\beta\text{-}\beta'$  phase change, and Raman spectra at 300 °C ( $\beta$  phase) are illustrated in Figure S2. The structure of  $\beta'\text{-In}_2\text{Se}_3$  can be regarded as the parent  $\beta\text{-In}_2\text{Se}_3$  structure modified by the



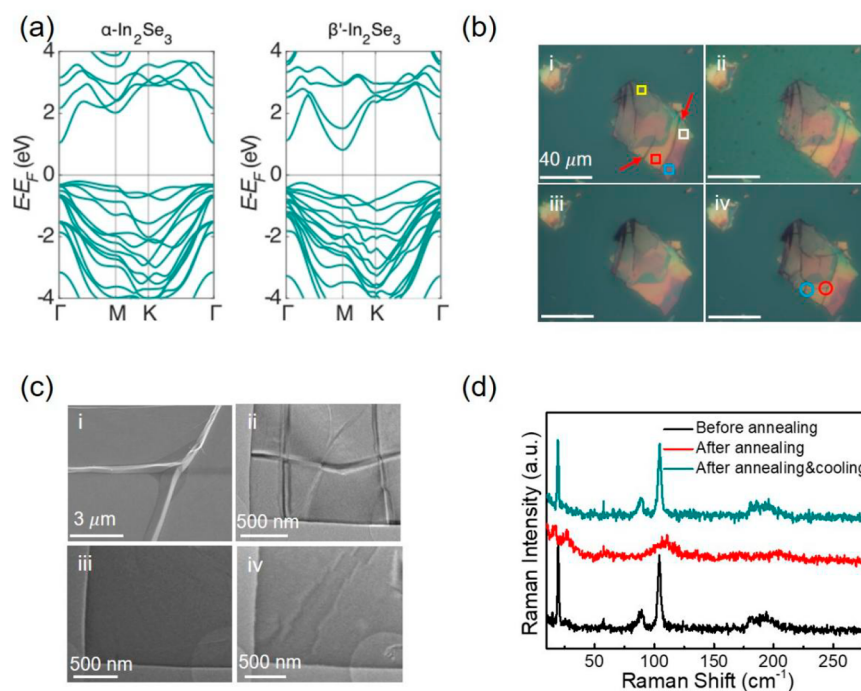
**Figure 2.** Optoelectronic characteristics variation of flat multilayer  $\text{In}_2\text{Se}_3$  flakes induced by thermal annealing. (a) The polarization-dependent second-harmonic generation (SHG) of a multilayer  $\text{In}_2\text{Se}_3$  flake at  $20^\circ\text{C}$  before ( $\alpha\text{-In}_2\text{Se}_3$ ) and after ( $\beta'\text{-In}_2\text{Se}_3$ ) thermal annealing. The illustrations in the bottom right corner are an optical microscope image and corresponding SHG mapping. (b) Photoluminescence (PL) spectra of a multilayer  $\text{In}_2\text{Se}_3$ , where T represents the condition of an  $\text{In}_2\text{Se}_3$  flake after thermal annealing. The inset shows the PL spectra of the  $\text{In}_2\text{Se}_3$  flake at  $20^\circ\text{C}$  after cooling from  $300^\circ\text{C}$  ( $\beta'\text{-In}_2\text{Se}_3$ , blue curve) and at  $20^\circ\text{C}$  after cooling from  $300^\circ\text{C}$  and then reverting from  $-196^\circ\text{C}$  ( $\beta'\text{-In}_2\text{Se}_3$ , green curve), respectively. (c) Reflection spectra of a multilayer  $\text{In}_2\text{Se}_3$  flake before ( $\alpha\text{-In}_2\text{Se}_3$ ) and after ( $\beta'\text{-In}_2\text{Se}_3$ ) thermal annealing. (d) Current–voltage curves of a multilayer  $\text{In}_2\text{Se}_3$  flake before ( $\alpha\text{-In}_2\text{Se}_3$ ) and after ( $\beta'\text{-In}_2\text{Se}_3$ ) thermal annealing.

nanostriped superstructure, which was consistent with previous reports.<sup>18</sup> Additionally, thickness reduction occurs along with a phase change from  $\alpha\text{-In}_2\text{Se}_3$  to  $\beta'\text{-In}_2\text{Se}_3$  (Figure S3), which is consistent with the smaller out-of-plane lattice constant  $c$  of  $\beta'\text{-In}_2\text{Se}_3$  according to a density functional theory (DFT) calculation. In summary, a phase change from 2H  $\alpha\text{-In}_2\text{Se}_3$  to  $\beta'\text{-In}_2\text{Se}_3$  can be realized at  $20^\circ\text{C}$  after thermal annealing.

2H  $\alpha\text{-In}_2\text{Se}_3$  with broken inversion symmetry possesses strong SHG under the excitation of a picosecond laser at 1064 nm (inset of Figure 2a), with thickness-dependent characteristics, partially resulting from the interference effects between surface and bulk harmonic signals.<sup>38</sup> The polarization-dependent SHG intensity of  $\alpha\text{-In}_2\text{Se}_3$  shows two-lobe (Figure 2a) and six-lobe (Figure S5) patterns, and the SHG difference can probably be attributed to the changes in  $\text{In}_2\text{Se}_3$  symmetry and nonlinear photoelasticity induced by local stress.<sup>39–41</sup> Simultaneously, SHG degenerates obviously after thermal annealing at  $300^\circ\text{C}$ , and the weaker SHG belongs to  $\beta'\text{-In}_2\text{Se}_3$ , which was consistent with the characteristics of in-plane ferroelectricity.<sup>22</sup> Moreover, the polarization-dependent SHG intensity and shape of initial  $\alpha\text{-In}_2\text{Se}_3$  are different from that of the recovered  $\alpha\text{-In}_2\text{Se}_3$  (Figure S6), indicating the redistribution of local strain, which is consistent with a morphology change between the initial and recovered  $\alpha\text{-In}_2\text{Se}_3$  flakes (Figure 3b and Figures S10 and S11). Next, the measured PL spectra of a multilayer 2H  $\alpha\text{-In}_2\text{Se}_3$  flake under the excitation of a 532 nm laser shows a peak at 869 nm, from which the optical bandgap is inferred to be 1.43 eV at  $20^\circ\text{C}$ . The PL peak has a blue shift to 826 nm at  $-196^\circ\text{C}$ , attributed to the reduction of optical bandgap at the lower experimental temperature.<sup>42,43</sup> Meanwhile, the PL peak intensity enhances by 4 times at  $-196^\circ\text{C}$

due to the smaller proportion of nonradiative combination, i.e., defect trapping combination and carrier relaxation in the conduction and valence bands at the lower temperature.<sup>44,45</sup> After thermal annealing at  $300^\circ\text{C}$ , the PL signal disappears at  $20^\circ\text{C}$  (the inset in Figure 2b), and a PL peak at 716 nm is observed at  $-196^\circ\text{C}$ , whose peak intensity is a fourth of the  $\alpha\text{-In}_2\text{Se}_3$  counterpart at  $-196^\circ\text{C}$ . According to a DFT calculation (Figure 3a),  $\beta'\text{-In}_2\text{Se}_3$  is an indirect-bandgap semiconductor; thereby the PL signal at  $-196^\circ\text{C}$  may be attributed to the appearance of another distorted  $\beta$  phase, which is consistent with the Raman results in Figure S8. Based on the calculated bandgap and measured PL spectra, it appears that both  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$  exhibit low optical absorption and low insertion loss at the telecommunication band. Furthermore, the reflectivity variation of a multilayer  $\text{In}_2\text{Se}_3$  flake on the transparent glass substrate is observed because of the  $\text{In}_2\text{Se}_3$  phase change (Figure 2c), which is induced by thickness reduction and refractive index increase. Moreover, the reflection peaks mainly result from the interference effect of reflexive optical signals at the top and bottom surfaces.

Electrical conductivity variation also occurs as a result of the phase change (Figure 2d). The Au- $\alpha\text{-In}_2\text{Se}_3$ -Au device (channel length  $1.8\ \mu\text{m}$ , thickness 130.2 nm, and width  $43.3\ \mu\text{m}$ ) shows a Schottky contact, and the current is 36 nA at 10 V. In comparison, the channel current increases to 0.20 mA at 10 V on coming into Ohmic contact after  $\alpha\text{-In}_2\text{Se}_3$  transforms to  $\beta'\text{-In}_2\text{Se}_3$ . Although  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$  have almost identical bandgaps according to a DFT calculation (Figure 3a), the channel current of  $\beta'\text{-In}_2\text{Se}_3$  is about 4 orders of magnitude larger than that of  $\alpha\text{-In}_2\text{Se}_3$ , primarily resulting from increased mobility and carrier concentration, accompanied by a



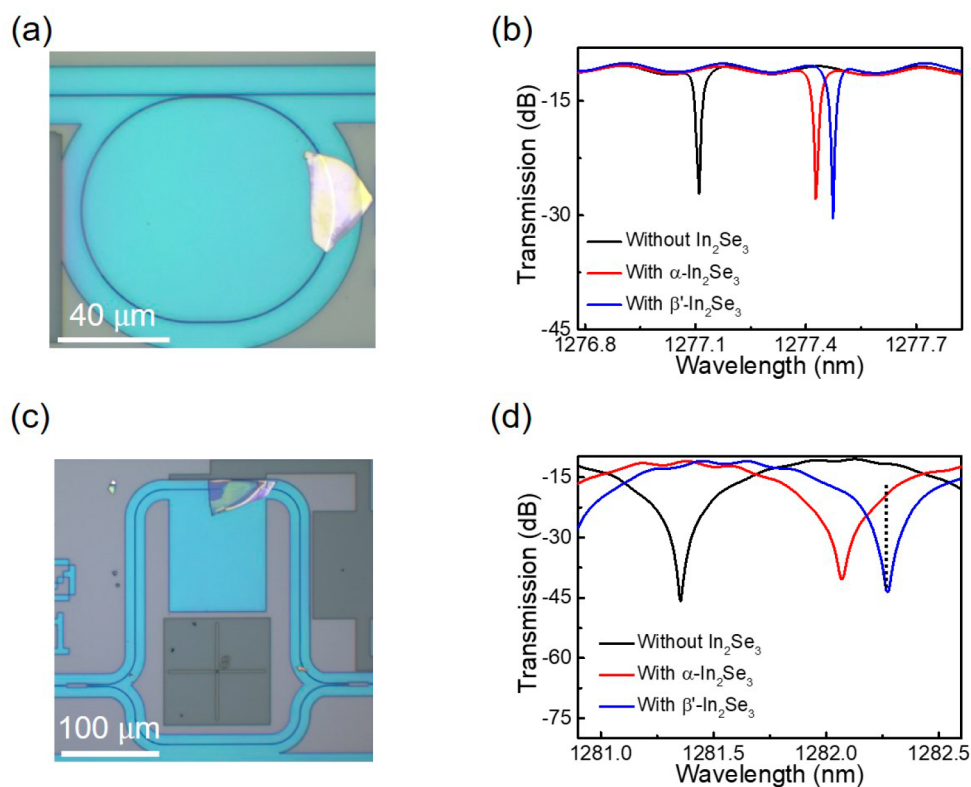
**Figure 3.** Reversible phase change of wrinkled  $\text{In}_2\text{Se}_3$  flakes induced by a thermal effect. (a) Calculated band structures for  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$ . (b) Optical microscope images of a  $\text{In}_2\text{Se}_3$  flake at 20 °C ( $\alpha\text{-In}_2\text{Se}_3$ ) (i),  $-196$  °C (ii), 20 °C after thermal annealing at 300 °C ( $\beta'\text{-In}_2\text{Se}_3$ ) (iii), and 20 °C after cooling from 300 °C and then reverting from  $-196$  °C ( $\alpha\text{-In}_2\text{Se}_3$ ) (iv). Raman spectra at four different square regions were collected (i, Figure 3b). (c) (i) Scanning electron microscope (SEM) image of the  $\text{In}_2\text{Se}_3$  flake in the region of the red circle (iv in Figure 3b) and in situ TEM images of another wrinkled  $\text{In}_2\text{Se}_3$  flake at 20 °C ( $\alpha\text{-In}_2\text{Se}_3$ ) (ii), 300 °C ( $\beta'\text{-In}_2\text{Se}_3$ ) (iii), and 20 °C after cooling from 300 °C ( $\beta'\text{-In}_2\text{Se}_3$ ) (iv). (d) Raman spectra of the multilayer  $\text{In}_2\text{Se}_3$  flake in the center of the red rectangle (i in Figure 3b). The black, red, and green lines represent the measured Raman signal at 20 °C ( $\alpha\text{-In}_2\text{Se}_3$ ), 20 °C after thermal annealing at 300 °C ( $\beta'\text{-In}_2\text{Se}_3$ ), and 20 °C after cooling from 300 °C and then reverting from  $-196$  °C ( $\alpha\text{-In}_2\text{Se}_3$ ).

reduction in contact resistance.<sup>8,46</sup> The high electrical conductivity of  $\beta'\text{-In}_2\text{Se}_3$  coupled with its large optical bandgap can actually serve as a transparent electrode for thermo-optic modulators with low insertion loss in telecommunication bands.

Thermal effects have complicated impacts on the  $\text{In}_2\text{Se}_3$  structural variation. Besides the aforementioned  $\alpha$  to  $\beta'$  phase change, there are another three types of phase changes induced by thermal effects. First, wrinkles (two black lines marked by red arrows in i in Figure 3b) at the  $\alpha\text{-In}_2\text{Se}_3$  flake surface disappear after thermal annealing at 300 °C, along with  $\alpha$  to  $\beta'$  phase change (iii in Figure 3b). Intriguingly, many more wrinkles and ripples reappear (iv in Figure 3b) at 20 °C after  $\beta'\text{-In}_2\text{Se}_3$  recovers from  $-196$  °C, with  $\beta'\text{-In}_2\text{Se}_3$  transforming back to  $\alpha\text{-In}_2\text{Se}_3$ . The detailed surface features in the red circle (iv in Figure 3b) are characterized by SEM in Figure 3c (i), demonstrating the obvious wrinkles and ripples instead of cracks on the  $\text{In}_2\text{Se}_3$  surface. Furthermore, wrinkle disappearance is further observed in the in situ TEM measurement in Figure 3c (ii–iv). After thermal annealing, most of the wrinkles disappear and a nanostriped pattern emerges when the temperature decreases from 300 to 20 °C, corresponding to a phase change from  $\alpha\text{-In}_2\text{Se}_3$  (ii in Figure 3c) to  $\beta\text{-In}_2\text{Se}_3$  (iii in Figure 3c) and to  $\beta'\text{-In}_2\text{Se}_3$  (iv in Figure 3c). The variation of surface features during the phase change is attributed to the different lattice constants of  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$ . According to a DFT calculation, the in-plane lattice constants  $a$  for 2H  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$  are 4.067 and 3.986 and  $c$  for 2H  $\alpha\text{-In}_2\text{Se}_3$  and  $\beta'\text{-In}_2\text{Se}_3$  are 19.246 and 18.856, respectively, accounting for the wrinkle variation. This reversible phase change is

confirmed by Raman spectra in Figure 3d and Figure S7, indicating that a  $\beta'$ -to- $\alpha$  phase change occurs in the four squares (i in Figure 3b) at 20 °C after reverting from  $-196$  °C. However, the  $\beta'$  phase did not transform to the  $\alpha$  phase for those flat  $\text{In}_2\text{Se}_3$  flakes after reverting from  $-196$  °C (Figure S9). Interestingly, a reversible phase change between the  $\beta$  phase family remained for both wrinkled and flat  $\text{In}_2\text{Se}_3$  flakes when they were cooled to a temperature lower than  $-124$  °C according to the Raman spectra in Figures S8 and S9. When the temperature decreases from  $-52$  to  $-124$  °C, the Raman peaks at 28 and 110  $\text{cm}^{-1}$  will be blue shifted and red shifted, respectively. Simultaneously, another four Raman peaks at 42, 54, 67, and 120  $\text{cm}^{-1}$  appear, which probably belong to another distorted  $\beta$  phase.<sup>17</sup> Furthermore, this phase is metastable and it would convert into the  $\beta'$  phase with a temperature increase higher than  $-124$  °C, which is certified by the Raman spectra in Figure S8, providing the possibility for phase transitions at low temperatures. Therefore, we conclude that the wrinkles and ripples in the original  $\alpha\text{-In}_2\text{Se}_3$  flakes have a significant impact on the heat-induced reversible phase change between  $\beta'$  and  $\alpha$  phases. This conclusion is consolidated by another four  $\text{In}_2\text{Se}_3$  flakes with wrinkles and ripples as shown in Figures S10 and S11, in which the reversible  $\beta'$ -to- $\alpha$  phase change has been observed as well.

Optical phase-change materials with stable and tunable refractive indices are essential for some integrated photonic devices such as nonvolatile phase shifters and postfabrication phase trimming, which play significant roles in photonic applications such as programmable and reconfigurable photonic networks.<sup>47–49</sup> Here, MRRs integrated with multi-



**Figure 4.** Phase shifter based on the MRR and MZI. (a) Optical microscope image of an MRR integrated with the  $\text{In}_2\text{Se}_3$  flake (45 nm thick). (b) Transmission spectra of the MRR without and with the  $\text{In}_2\text{Se}_3$  flake ( $\alpha$  and  $\beta'$  phases). (c) Optical microscope image of an MZI integrated with the  $\text{In}_2\text{Se}_3$  flake (90 nm thick). (d) Transmission spectra of the MZI without and with the  $\text{In}_2\text{Se}_3$  flake ( $\alpha$  and  $\beta'$  phases).

layer  $\text{In}_2\text{Se}_3$  sheets were designed as phase shifters. We adopted ridge waveguides with a smooth surface on a 220 nm-thick silicon-on-insulator substrate, an etching depth of 150 nm and width of 400 nm, and the detailed fabrication processes are shown in the Supporting Information. An overcoupled race-track MRR integrated with  $\text{In}_2\text{Se}_3$  was applied to study the resonance variation induced by  $\text{In}_2\text{Se}_3$  phase change, and the resonance wavelength can be given by

$$n_{\text{ef}}L = n_{\text{ef-In}_2\text{Se}_3}L_{\text{In}_2\text{Se}_3} + n_{\text{ef-Si}}L_{\text{Si}} = \lambda_{\text{res}}m$$

where  $n_{\text{ef-Si}}$ ,  $n_{\text{ef-In}_2\text{Se}_3}$ ,  $L_{\text{In}_2\text{Se}_3}$ ,  $L_{\text{Si}}$ , and  $m$  are the effective refractive index of the Si waveguide, the effective refractive index of the  $\text{In}_2\text{Se}_3/\text{Si}$  hybrid waveguide, the length of the  $\text{In}_2\text{Se}_3$  flake, the circumference length subtracting  $\text{In}_2\text{Se}_3$  length, and a positive integer, respectively. After transferring a multilayer  $\text{In}_2\text{Se}_3$  flake (thickness  $\sim 45$  nm in Figure S14, length  $36 \mu\text{m}$ ), the resonance wavelength undergoes a red shift of about 0.317 nm (Figure 4b), and the refractive index of  $\alpha$ - $\text{In}_2\text{Se}_3$  is calculated to be 2.25 (Figure S13). Meanwhile, the quality factor decreases from  $4.2 \times 10^4$  to  $3.8 \times 10^4$ , and the ER increases from 16.9 to 17.4 dB at about 1277 nm, which are primarily responsible for the larger scattering loss and the weak defect absorption of the  $\text{In}_2\text{Se}_3/\text{Si}$  hybrid waveguide. After thermal annealing at 300 °C, the red shift of resonance wavelength changing from 1277.427 to 1277.470 nm is observed (Figure 4b) due to the phase change of  $\text{In}_2\text{Se}_3$ , and the refractive index of  $\beta'$ - $\text{In}_2\text{Se}_3$  is calculated to be 2.47 (Figure S13). Such an obvious refractive index variation plays a prominent role in a nonvolatile phase shifter and post-fabrication phase trimming for integrated photonic applications. Simultaneously, the quality factor increases to  $3.9 \times 10^4$ ,

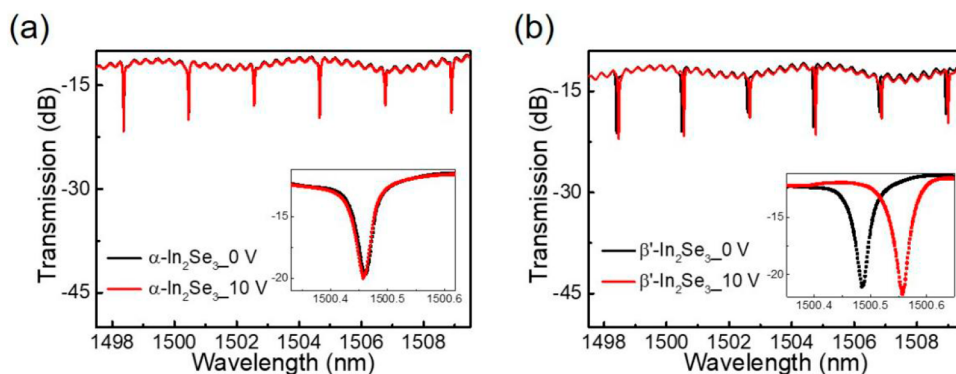
resulting from the reduced  $\text{In}_2\text{Se}_3$  thickness and the smaller optical scattering loss after the phase change. Generally, scattering loss of a 2DM/Si hybrid waveguide is closely related to the volume of 2DM, and  $\text{In}_2\text{Se}_3$  flakes with thickness ranging from 70 to 120 nm possess low optical loss for the MRR-based phase shifter as shown in Figure S12. Additionally, transmission spectra of two MRRs without  $\text{In}_2\text{Se}_3$  are shown in Figure S15, in which the almost overlapping spectra indicate that thermal annealing has little impact on the resonance for bare Si MRR.

An unbalanced MZI is another structure for phase shifters that is not limited by channel spacing and grid configuration. Here, MZIs integrated with multilayer  $\alpha$ - $\text{In}_2\text{Se}_3$  sheets on the longer arm were obtained (Figure 4c). Two  $1 \times 2$  MMIs work as beam splitters and combiners, and the output power can be given by<sup>50,51</sup>

$$P_{\text{O}} = \frac{P_{\text{in}}}{4} \left[ e^{-\alpha l_1} + e^{-\alpha l_2} + e^{-\alpha(l_1+l_2)/2} \cos\left(2\pi n_{\text{eff}} \frac{\Delta L}{\lambda}\right) \right]$$

where  $P_{\text{in}}$ ,  $\alpha$ ,  $l_1$  and  $l_2$  and  $\Delta L$  are the input power, the absorption coefficient of the  $\text{In}_2\text{Se}_3/\text{Si}$  hybrid waveguide, the lengths of the hybrid waveguide in each arm, and the length difference between the two arms, respectively. Therefore, interference fringes can be obtained when sweeping the input wavelength with an ER expressed by<sup>50</sup>

$$\begin{aligned} \text{ER} &= \frac{P_{\text{max}}}{P_{\text{min}}} = \frac{e^{-\alpha l_1} + e^{-\alpha l_2} + 2e^{-\alpha(l_1+l_2)/2}}{e^{-\alpha l_1} + e^{-\alpha l_2} - 2e^{-\alpha(l_1+l_2)/2}} \\ &= \left( \frac{1 + e^{\alpha \Delta L/2}}{1 - e^{\alpha \Delta L/2}} \right)^2 \approx \left( \frac{4}{\alpha \Delta L} \right)^2 \end{aligned}$$



**Figure 5.** Thermo-optic modulator based on  $\beta'$ - $\text{In}_2\text{Se}_3$ . Transmission spectra of an MMR with multilayer  $\text{In}_2\text{Se}_3$  before (a) ( $\alpha$ - $\text{In}_2\text{Se}_3$ ) and after (b) ( $\beta'$ - $\text{In}_2\text{Se}_3$ ) thermal annealing under different bias voltages.

where  $\Delta l = l_1 - l_2$ . As shown in Figure 4d, ER of the original MZI is about 34.1 dB, decreases to 29.5 dB after transferring  $\alpha$ - $\text{In}_2\text{Se}_3$  (90 nm thick), and then changes to 32.6 dB after thermal annealing. Such a high ER indicates that multilayer  $\text{In}_2\text{Se}_3$  is a low-loss optical material at the O band, and the absorption coefficients of  $\alpha$ - $\text{In}_2\text{Se}_3/\text{Si}$  and  $\beta'$ - $\text{In}_2\text{Se}_3/\text{Si}$  ( $\text{In}_2\text{Se}_3$ : 90 nm thick) hybrid waveguides are inferred to be 0.0113 and 0.0107 dB/ $\mu\text{m}$ , respectively, according to the ER variation. A red shift of 206 pm is observed after thermal annealing (Figure 4d) because of the larger refractive index of  $\beta'$ - $\text{In}_2\text{Se}_3$ , and the on–off ratio is 24.4 dB at 1282.272 nm. In comparison, MZIs without  $\text{In}_2\text{Se}_3$  possess the same interference fringes after thermal annealing in Figure S15, indicating that this red shift originates from the refractive index variation between  $\alpha$ - and  $\beta'$ - $\text{In}_2\text{Se}_3$ . As shown in Figure S16, the other three MZIs integrated with  $\text{In}_2\text{Se}_3$  flakes (thickness ranging from 45 to 110 nm) would serve as low-loss phase shifters. Furthermore, a wrinkled  $\alpha$ - $\text{In}_2\text{Se}_3$  with a reversible phase change between  $\alpha$  and  $\beta'$  phases was integrated with MZI (Figure S16f), which demonstrates an obvious variation of transmission spectra when  $\alpha$ - $\text{In}_2\text{Se}_3$  transformed to  $\beta'$ - $\text{In}_2\text{Se}_3$ .

A thermo-optic modulator, an important component in integrated photonic circuits, offers the potential for various applications such as optical phased arrays, photonic neural networks, and quantum computation devices.<sup>52–54</sup> Here, multilayer  $\beta'$ - $\text{In}_2\text{Se}_3$  possesses a large optical bandgap (Figure 3a) and high conductivity (Figure 2d) accompanied by reduced contact resistance, illustrating the potential to serve as a low-loss microheater for thermo-optic modulators. As shown in Figure 5, the Au- $\alpha$ - $\text{In}_2\text{Se}_3$ -Au device on top of an MRR shows weak modulation for the resonance wavelengths, limited by the small conductivity. On the contrary, the obvious red shift of resonance wavelength is observed after a phase change from  $\alpha$ - $\text{In}_2\text{Se}_3$  to  $\beta'$ - $\text{In}_2\text{Se}_3$  at 10 V, demonstrating a thermo-optic modulator with small insertion loss. The heating efficiency ( $\eta$ ) of this modulator is calculated to be 0.09 nm/mW, which is close to those of thermo-optic modulators based on doped Si<sup>55</sup> and graphene microheaters.<sup>56</sup> Moreover, the half-wave-voltage-length products ( $V_\pi L$ ) at 1500 nm can be approximately calculated to be 0.14 V cm, which is smaller than those of some of the reported modulators based on 2DMs.<sup>57,58</sup>

In summary, we observed thermally driven reversible phase transitions between  $\alpha$  and  $\beta'$  phases with the assistance of local strain from surface wrinkles and ripples and confirmed the thermally driven reversible phase transitions within the  $\beta$  phase

family. The detailed transition between wrinkled  $\alpha$ - $\text{In}_2\text{Se}_3$  and  $\beta'$ - $\text{In}_2\text{Se}_3$  can be concluded as follows: phase transition from  $\alpha$  to  $\beta'$  phase at 20 °C after thermal annealing at 300 °C, a reverted phase transition from  $\beta'$  to  $\alpha$  phase at 20 °C after cooling at a temperature below  $-124$  °C, and stability of both 2D  $\alpha$ - $\text{In}_2\text{Se}_3$  and  $\beta'$ - $\text{In}_2\text{Se}_3$  at room temperature. The tunable refractive index induced by a phase change would allow the design of photonic memory devices with low insertion loss and small power consumption, which has been confirmed by the achieved phase shifters with high ER and low insertion loss. Simultaneously, a low-loss thermo-optic modulator based on  $\beta'$ - $\text{In}_2\text{Se}_3$  was obtained as well. Overall, this prototype enables layered  $\text{In}_2\text{Se}_3$  to realize integrated photonic devices for low-loss phase tuning and shows potential applications in nonvolatile optical memory applications.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.nanolett.3c01247>.

Selected-area electron diffraction patterns of  $\text{In}_2\text{Se}_3$ ,  $\text{In}_2\text{Se}_3$  Raman spectra,  $\text{In}_2\text{Se}_3$  thickness variations after thermal annealing, DFT calculations of the  $\text{In}_2\text{Se}_3$  unit cell, polarization-dependent second harmonic generation, Raman spectra of the  $\text{In}_2\text{Se}_3$  flake, phase shifter based on the microring resonator/ $\text{In}_2\text{Se}_3$ , calculated electric field profiles of the  $\text{TE}_0$  mode in the hybrid  $\text{In}_2\text{Se}_3$  waveguide,  $\text{In}_2\text{Se}_3$  thickness measurement, transmission spectra of Si MRR and unbalanced Mach–Zehnder interferometer (MZI) before and after thermal annealing, and phase shifter based on Mach–Zehnder interferometer/ $\text{In}_2\text{Se}_3$  (PDF)

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L.L. and J.W. conceived the project. J.W., Y.Y., J.J., J.L., B.T., H.M., and M.W. fabricated these devices. X.Y. and W.L. conducted the DFT calculation. J.W. performed optical and optoelectronic measurements. L.L., H.L., and J.W. analyzed the data and wrote the manuscript. All authors commented on the manuscript.

### Notes

The authors declare no competing financial interest.

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